ALSO AVAILABLE:

- PCT booklets (testimonials and case studies in adult population and pediatric setting)
- PCT-based algorithm for antibiotic therapy guidance in LRTI/Sepsis

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Clinical Guide to Use of PROCALCITONIN for Diagnosis and Guidance

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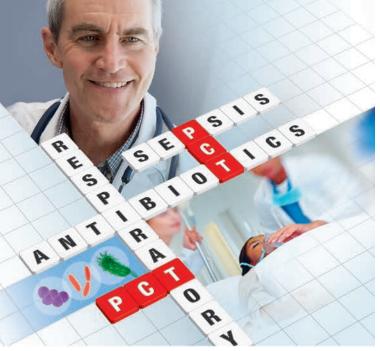
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CLINICAL DECISIONS



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Preface

In recent years, procalcitonin (PCT) has become an increasingly used blood biomarker for improved management of patients with systemic infections and sepsis.

Intended as a practical guide,

this booklet provides clinicians with an overview of the potential usefulness and limitations of PCT for diagnosing bacterial infections, differentiating bacterial from viral diseases and other medical conditions, assessing disease severity and prognosis, and guiding clinical decisions on antibiotic therapy.

This booklet aims to give clinicians information on how the biomarker PCT can be used in different clinical situations.

- **CHAPTER 1:** This section discusses preclinical data on the regulation of PCT, the kinetics over time and different diagnostic cut-offs according to clinical settings.
- **CHAPTER 2:** The diagnostic and prognostic properties of PCT are discussed with examples from clinical research studies.
- **CHAPTER 3:** The use of PCT for monitoring patients and guiding decisions for both initiation and duration of antibiotic therapy in different types of infections and clinical settings is illustrated.
- **CHAPTER 4:** A Question & Answer section discusses some remaining issues which are important when using PCT.

Philipp SCHUETZ, MD, MPH

Introduction

Antibiotic overuse and misuse represents a significant healthcare burden, in terms of treatment costs, but also the increased risk of resistant micro-organisms.

Emerging antimicrobial resistance and the continuous rise in *Clostridium difficile* infections calls for more effective efforts to **reduce the unnecessary and prolonged use of antibiotics** in self-limiting non-bacterial and resolving bacterial infections.

To help achieve this aim, diagnostic tools and biomarkers are urgently needed which allow better assessment of a patient's risk of having an infection, and their response to antibiotic therapy.

One such blood biomarker is procalcitonin (PCT), which is increasingly used in clinical practice for improved patient management. During bacterial infections, PCT blood levels rise within 4-6 hours. Its kinetics then mirror the severity of infection. PCT levels drop by about 50% daily when infection is controlled and responds adequately to antibiotics.⁽¹⁾

Based on this regulation and kinetics, many studies have documented the clinical utility of PCT for different clinical settings and infections. It has been demonstrated that **PCT improves early detection of sepsis and risk stratification** ⁽²⁾. Studies on respiratory infections have shown that using PCT to monitor therapy has led to a **more tailored use of antibiotics** with a reduction in antibiotic exposure of 30-70% depending on the clinical setting, and secondary gains such as lower risk of antibiotic-associated side effects, shorter length of hospital stays, and lower overall costs due to antibiotic savings ⁽³⁾.

Nevertheless, PCT is not a stand-alone test and does not replace clinical intuition or thorough clinical evaluations of patients. If used within well-defined clinical algorithms, PCT provides additional useful information and aids physicians in making rational clinical decisions in individual patient cases. As with any diagnostic test, knowledge of the strengths and limitations of PCT is a prerequisite for its safe and efficient use in clinical practice⁽⁴⁾.



BACKGROUND INFORMATION What is procalcitonin and where is it produced?

- What is procalcitonin and where is it produced?
 How is procalcitonin regulated on a cellular level?
 P. 5
- **3.** Different cut-offs in different clinical settings P. 7

DIAGNOSTIC AND PROGNOSTIC USE OF PROCALCITONIN

| 1. | Influence of viral and different types of bacterial infections on PCT levels | P. 8 |
|-------|--|-------|
| 2. | Diagnostic value of procalcitonin in the early | |
| | recognition of sepsis | P. 10 |
| 3. | Prognostic value of procalcitonin in the ED and ICU | P. 12 |
| 4. | Differentiation of heart failure and lung infection | P. 13 |
| 5. | Use of procalcitonin in neonates and pediatrics | P. 14 |
| | PROCALCITONIN TO GUIDE ANTIBIOTIC | |
| | THERAPY DECISIONS | P. 16 |
| 1. | Use of procalcitonin in Primary Care | P. 16 |
| 2. | Use of procalcitonin in ED and inpatients | P. 18 |
| | Bronchitis, COPD exacerbation in the ED | P. 18 |
| | Community-acquired pneumonia in the ED | P. 19 |
| 3. | Use of procalcitonin in Critical Care | P. 22 |
| | Sepsis in medical ICU | P. 22 |
| | Infectious complications in surgical ICU patients | P. 24 |
| IV | FREQUENTLY ASKED QUESTIONS | P. 26 |
| CURRI | ENT GUIDELINE RECOMMENDATIONS | P. 29 |
| | | |

P. 30

P. 4

P. 8



BACKGROUND



Procalcitonin (PCT) is the precursor peptide – or prohormone – of the mature hormone calcitonin. PCT is released in multiple tissues in response to bacterial infections via a direct stimulation of cytokines⁽⁵⁾. PCT shows an interesting kinetic profile⁽⁶⁾.

Cytokines such as interleukin (IL)-6 and tumor necrosis factor (TNF) show a fast initial spike upon infection with, however, levels going back to normal within a few hours. The high variability of these markers has been a major challenge for their use in clinical practice.

C-reactive protein (CRP), on the other hand, increases slowly with a peak after 48-72 hours and a slow decrease thereafter. CRP is usually considered a biomarker for inflammation rather than infection.

In adults, **PCT** increases promptly within 4-6 hours upon stimulation and decreases daily by around 50% if the bacterial infection is controlled by the immune system supported by effective antibiotic therapy (**Figure 1**). These characteristics make PCT an interesting biomarker for monitoring patients with systemic infections and sepsis and for more informed decisions on prescription and duration of antibiotic therapy. As PCT levels do not show a steep decrease in non-responding infections, monitoring its course also has prognostic implications.

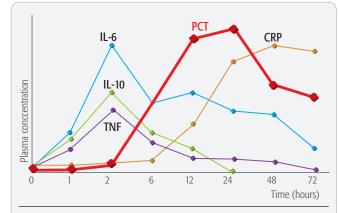


Figure 1: Kinetic profiles of different biomarkers of bacterial infection. Adapted from Meisner M. Procalcitonin: Experience with a new diagnostic tool for bacterial infection and systemic inflammation. J Lab Med 1999;23:263-72.⁽¹⁾

> Procalcitonin has an interesting kinetic profile which allows monitoring of the individual patient's response to antimicrobial therapy

2 How is procalcitonin regulated on a cellular level?

PCT production is induced in response to microbial toxins and to certain bacterial-induced cytokines, particularly interleukin (IL)-1 β , tumor-necrosis factor (TNF)- α and IL-6, and is released in the bloodstream where it can be measured (**Figure 2**).

Conversely, PCT production is attenuated by certain cytokines released in response to a viral infection, particularly interferon- γ (IFN- γ). This selective cellular mechanism makes PCT a useful diagnostic biomarker, which is **more specific for bacterial infections** compared to other inflammatory markers (i.e. C-reactive protein) and helps to **distinguish bacterial infections from other inflammatory reactions or viral infections**.

BACKGROUND INFORMATION

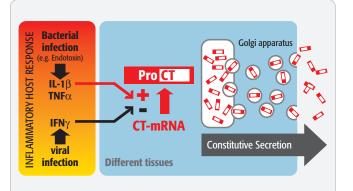


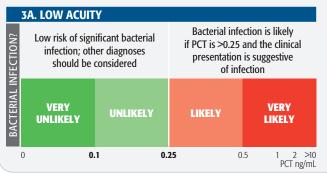
Figure 2: Schematic diagram of the regulation of CALC-I gene expression leading to PCT release in cells during septic conditions.

Adapted from Christ-Crain M *et al.* Swiss Medical Weekly 2005;135(31-32):451-460. ⁽⁷⁾ Pro-CT: Prohormone of calcitonin. CT-mRNA: Calcitonin-messenger ribonucleic acid

> Procalcitonin is upregulated in response to bacterial but not viral infections, making it a more specific biomarker for bacterial infections. This is helpful for differentiation of viral from bacterial infections.

Figure 3: PCT cut-off levels adapted to acuity. Adapted from Schuetz P *et al.* BMC Medicine 2011;9:107. ⁽⁴⁾

LOW ACUITY refers to patients typically seen in primary care or the ED without clinical signs of severe infection / sepsis.



3 Different cut-offs in different clinical settings

GTERIA

The probability for the presence of a **severe bacterial infection** correlates with **increasing levels of circulating PCT**:

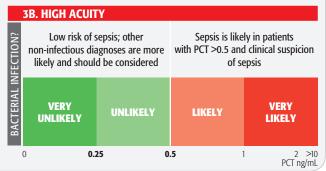
- the higher the PCT level, the higher the risk that a patient has sepsis due to a bacterial infection
- the higher the PCT level, the more severe the underlying infection
- the lower the PCT level, the lower the risk for a serious bacterial infection and the higher the probability that these patients may rather have mild viral infections.

For optimal performance, PCT cut-off values should be adapted to patient acuity (risk level) and clinical setting⁽⁸⁾.

IN LOW-ACUITY PATIENTS (Figure 3A), typically patients with **respiratory tract infections** presenting to their **primary care physician** or the **emergency department** (ED), a PCT cut-off of **0.25 ng/mL or 0.1 ng/mL** has a very high negative predictive value to exclude a serious bacterial infection. Viral infections, such as bronchitis or viral-induced exacerbation of Chronic Obstructive Pulmonary Disease (COPD) are much more likely.

IN HIGH-ACUITY PATIENTS (Figure 3B), typically patients transferred to the **intensive care unit** (ICU), PCT cut-offs of **0.5 ng/mL or 0.25 ng/mL** should be used. PCT levels below these cut-offs make severe bacterial infections and sepsis very unlikely and other diagnoses explaining the patients' medical condition should be considered.

HIGH ACUITY refers to patients transferred to the intensive care unit because of severe disease.





DIAGNOSTIC AND PROGNOSTIC USE OF PROCALCITONIN

1 Influence of viral and different types of bacterial infections on PCT levels

Since PCT is mainly up-regulated in bacterial infections, it helps to **distinguish viral from bacterial infections**. In respiratory infections, PCT remains low (in the range of healthy subjects) in patients with the clinical diagnosis of bronchitis – which is in most cases a viral infection. Yet, it significantly increases in patients with bacterial pneumonia⁽⁹⁾.

In line with this, clinical studies have shown no additional benefit of antibiotic treatment in emergency department patients with clinical signs of a respiratory infection and a low PCT level ^(10, 11). This indicates that a **low PCT level is helpful to rule out bacterial infections** which warrant antibiotic therapy in this population.

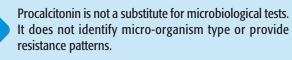
Traditional culture methods, such as blood cultures, focus on identification and characterization of pathogens. This is important to know which antibiotics should be used and to understand resistance patterns. They do not, however, inform about the **host response** to the infection, which depends on the virulence of the micro-organism and the severity of infection. PCT, on the other hand, mirrors the patient's response to the infection and therefore indirectly the extent and severity of infection. With new microbiological methods becoming available that rapidly identify micro-organisms with higher sensitivity, **PCT may help to increase specificity** of these methods by providing information about the severity and "relevance" of microbial culture results in individual patients. In line with this, PCT has been shown to be helpful in differentiating true infection from contamination in patients with growth of coagulase-negative staphylococci in their blood cultures ⁽¹²⁾.



PCT helps in the differentiation of viral from bacterial infection and the correct interpretation of microbiological test results.

PCT also provides additional information about the host response to the infection.

PCT may also help to accurately **predict the risk for bacteremic infection defined by blood culture positivity**. PCT was found to be significantly increased in bacteremic patients presenting with community-acquired pneumonia (CAP). In a clinical study, less than 1% of patients had positive blood culture when their initial PCT level was <0.25 ng/mL, which increased to >20% in patients with PCT >2.5 ng/mL ⁽¹³⁾. However, it seems that PCT may not help to reliably predict the type of bacterial microorganism. In fact, a German study found that a high PCT level was a strong indication of infection of bacterial origin, however, the result did not indicate the type of bacteria (Gram-positive / Gram-negative) ⁽¹⁴⁾.



PCT is therefore better considered as a **measure of a patient's response to infection** and indirectly the extent and severity of infection. It helps to estimate the likelihood of a relevant bacterial infection, as with increasing PCT concentrations, a relevant and serious bacterial infection becomes likely. Conversely, an alternative diagnosis becomes more likely if PCT levels remain low.

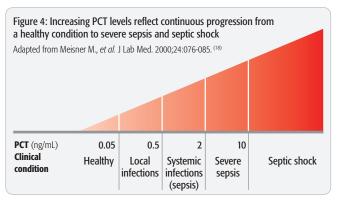
2 Diagnostic value of procalcitonin in the early recognition of sepsis

Globally, an estimated 20 - 30 million cases of sepsis occurs each year, with over 6 million cases of neonatal and early childhood sepsis, and the rate of sepsis mortality remains unacceptably high (between 30 and 60% of patients with sepsis die) ⁽¹⁵⁾. Furthermore, sepsis has significantly increased by an annual rate of 8-13% over the past decade, due to the aging population, the development of drugresistant and more virulent varieties of pathogens, and, in the developing world, to malnutrition, poor sanitation, lack of access to vaccines and timely treatments ⁽¹⁶⁾.

The cornerstone of today's sepsis treatment is **early recognition of the condition** and **early initiation of appropriate antibiotic therapy**, as well as **fluid resuscitation**. Clinical signs, such as the systemic inflammatory response syndrome (SIRS) criteria, lack both sensitivity and specificity. Therefore, blood biomarkers (such as PCT) that mirror the severity of bacterial infections, improve the early diagnosis of sepsis^(2, 17).

PCT has been demonstrated to be most clinically useful, and superior to commonly used clinical variables and laboratory tests in the early diagnosis of sepsis⁽²⁾. Moreover, it has been shown to correlate with the extent and severity of microbial invasion. Thereby, PCT improves the clinical work-up of patients with suspicion of sepsis⁽¹⁷⁾.

IN THE ED SETTING, low PCT values (<0.25 ng/mL) in patients with clinical signs of infection indicate a low probability for blood culture proof of bacterial infection and sepsis⁽⁴⁾. Usually, PCT levels are found to be >0.5 ng/mL or higher if patients have bacterial infections leading to sepsis. (**Figure 4**)



IN THE ICU SETTING and in **patients with suspicion of severe sepsis or septic shock**, PCT levels are usually found to be higher than 2 ng/mL and a PCT level of <0.5 ng/mL makes sepsis very unlikely (high negative predictive value) ⁽¹⁷⁾. (**Figure 5**)

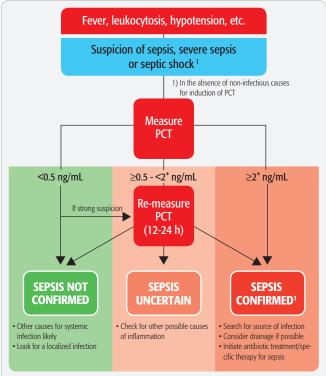
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PCT enables the diagnostic differentiation between various clinical conditions mimicking severe systemic bacterial infections and sepsis.

Procalcitonin is most promising for early detection of patients at risk for sepsis and bacteremia:

 Low procalcitonin levels may help to rule out sepsis and help physicians focus on other medical conditions.
 High levels confirm that sepsis is very likely.



*The cut-off of 2 ng/mL is given for orientation only. Depending on the patient's background, it may be higher or lower than 2 ng/mL e.g. major surgery (higher) or patient in medical ICU (lower).

Figure 5: Sepsis diagnosis with PCT in ICU setting

Source : Thermo Fisher Scientific communication "Guide for the Clinical Use of Procalcitonin (PCT).

DIAGNOSTIC AND PROGNOSTIC USE OF PROCALCITONIN

3 Prognostic value of procalcitonin in the ED and ICU

PCT has prognostic implications because **levels correlate with severity of infection**, and more importantly, **a decrease of PCT over 24-48 hours** suggests clinical recovery and **favourable patient outcomes**.

The following interpretation of PCT results based on clinical evidence has been suggested ⁽¹⁹⁾:

IN LOW-ACUITY PATIENTS WITH RESPIRATORY INFECTIONS:

a) a **low PCT level** identifies patients at lower risk for a bacterial ethology and CAP and thus low mortality;

b) a **high PCT level** identifies patients at higher risk for a bacterial etiology and CAP and, perhaps, higher mortality.

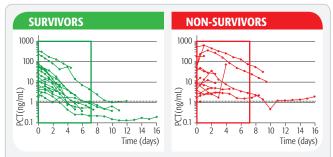
IN A HIGH-ACUITY POPULATION PCT levels <0.1 ng/mL effectively decrease the likelihood of mortality from a bacterial ethology and other non-bacterial pathologies should be aggressively sought.

THE ASSESSMENT OF PCT KINETICS OVER TIME is more helpful than initial values in moderate and higher risk patients (**Figure 6**). Levels failing to decline during initial follow-up identify patients not responding to therapy. This latter conclusion is also in accordance with ICU studies focusing on sepsis patients and ventilator-associated pneumonia (VAP) patients demonstrating that a decreasing PCT level over time is a more sensitive outcome predictor than the initial PCT level ⁽²⁰⁻²³⁾.

The best prognostic information is derived from monitoring PCT levels over time as:

decreasing levels are found in patients responding to antibiotic therapy

non-decreasing levels may point to treatment failure.



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Figure 6: Daily variations of PCT levels during ICU hospitalization in patients admitted with severe sepsis and septic shock that survived or did not survive. Adapted from Harbarth S., *et al.* Am J Respir Crit Care Med. 2001;164:396-402. ⁽¹⁷⁾

Differentiation of heart failure and lung infection

The diagnosis of pneumonia may be difficult in patients with preexisting parenchymal lung disease because of baseline abnormal chest imaging. Detecting superimposed pneumonia in patients presenting with acute heart failure is additionally difficult because of the non-specific nature of chest X-ray abnormalities in the setting of cardiogenic pulmonary edema.

A recent trial (BACH) including 1,641 patients presenting to the ED with dyspnea found PCT helpful in such cases of high diagnostic uncertainty ⁽²⁴⁾, which constituted 30% of the patient population. In fact, **combining physician estimates of the probability of pneumonia with PCT values significantly increased the accuracy for the diagnosis of pneumonia in all patients presenting with dyspnea**. In addition, patients with a diagnosis of acute heart failure (AHF) and an elevated PCT concentration had a worse outcome if not treated with antibiotics, while patients with low PCT values had a better outcome if they did not receive antibiotic therapy (**Figure 7**).



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In patients with acute dyspnea, PCT significantly increases the accuracy of diagnosis of pneumonia, thereby helping to differentiate acute heart failure from respiratory infection in cases of high diagnostic uncertainty.

DIAGNOSTIC AND PROGNOSTIC USE OF PROCALCITONIN

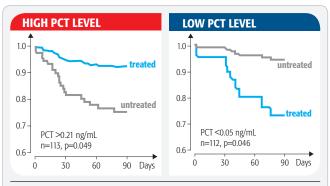


Figure 7: Kaplan–Meier plot for antibiotic treatment and all-cause mortality within 90 days for patients with acute heart failure and subgrouped by procalcitonin (PCT) quintiles: PCT . 0.21 ng/mL (highest quintile, P = 0.049) and,0.05 ng/mL (lowest quintile, P = 0.046)

Adapted from Maisel A. et al., Eur J Heart Fail. 2012;14(3):278-86. (24)

5 Use of procalcitonin in neonates and pediatrics

In the pediatric population, PCT is a very useful biomarker, which can **help physicians** in association with clinical signs in the following situations:

DIFFERENTIATION OF VIRAL/BACTERIAL MENINGITIS

A PCT level \geq 0.5 ng/mL associated with a CSF protein level \geq 0.5 ng/mL and interpreted with clinical rules is a sensitive and specific marker to identify bacterial meningitis ^[25]. This approach/strategy helps avoid unnecessary antibiotic treatments and reduce length of hospital stay in children with viral meningitis.

FEBRILE URINARY TRACT INFECTIONS

PCT can help in the diagnosis of acute pyelonephritis and prediction of renal scars, as a PCT level \geq 0.5 ng/mL is associated with renal damage and is significantly higher in children with renal scars.

A PCT value ${\geq}0.5$ ng/mL is associated with high-grade (${\geq}3$) vesico-ureteral reflux (VUR) $^{\rm [26]}.$

■ DIAGNOSIS OF SEVERE BACTERIAL INFECTIONS (SBI) IN CHILDREN ≥ 3 MONTHS WITH FEVER WITHOUT SOURCE (FWS)

A PCT cut-off of 0.5 ng/mL has been suggested to enable early differentiation of SBI and non-severe or viral infections in children with FWS.

A risk index score, the Lab-score, associating CRP, procalcitonin and urinary dipstick also seems to be a useful tool to predict SBI $^{\rm [27]}.$

PREDICTION OF PNEUMOCOCCAL PNEUMONIA

Elevated PCT and CRP in combination with a positive pneumococcal urinary antigen are reliable predictors of pneumococcal pneumonia ^[28].

ANTIBIOTIC GUIDANCE

In the largest randomized trial on antibiotic use in children, the use of a PCT cut-off of 0.25 μ g/L to rule out the need for initiation or continuation of antibiotics significantly reduced antibiotic exposure in children by almost 50% without apparent harmful effects^[29].

NEONATES

Normal PCT values in infants are <0.05 ng/mL.

In neonates, PCT levels are physiologically increased and vary depending on hours of age during the first two days of life (Table 1)^[30].

Serum PCT levels at presentation have very good diagnostic accuracy (AUC=0.87) for the

| AGE (hours) | PCT ng/mL |
|-------------|-----------|
| 0-6 | 2 |
| 6-12 | 8 |
| 12-18 | 15 |
| 18-30 | 21 |
| 30-36 | 15 |
| 36-42 | 8 |
| 42-48 | 2 |

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Table 1: PCT levels in neonatesAdapted from Chiesa et al. Clin Chim Acta2011;412 (11-12):1053-9. (30)

diagnosis of neonatal sepsis ^[31]. The use of a PCT-guided algorithm can shorten antibiotic therapy in suspected neonatal early-onset sepsis ^[29]. However, these findings need to be confirmed in a larger cohort of neonates.

Finally, elevated umbilical blood cord procalcitonin concentration has been described as an independent risk factor of mortality in preterm infants^[52].



In the pediatric setting, PCT contributes to early diagnosis, prognosis, therapeutic management and antibiotic guidance, helping to avoid unnecessary hospitalization and antibiotic exposure in children with viral meningitis or low risk of bacterial infection.

PCT TO GUIDE ANTIBIOTIC THERAPY DECISIONS

Emerging antimicrobial resistance and the lack of new antibiotics in development to meet the challenge of multi-drug resistance makes the **most prudent use of existing antibiotics** crucial to preserve their efficacy. More efforts are required to **reduce the unnecessary and prolonged use of antibiotics** in self-limiting non-bacterial and resolving bacterial infections.

It has been shown that PCT can be used in different clinical settings to help **guide decisions to start, continue or stop antibiotic therapy** based on initial PCT levels and repeated measurements, thereby contributing to **efficient antibiotic stewardship**^(3, 8).

Use of Procalcitonin in Primary Care

Differentiation between viral and bacterial origin of infection in lowacuity patients presenting with symptoms of upper and lower respiratory infections in the primary care setting, remains a difficult task.

A PCT strategy for guiding antibiotic therapy has two different effects:

- improving the diagnostic ability of the physician to rule out or confirm bacterial infections, and
- **reassuring patients** that antibiotics are not necessary.

Randomised trials including more than 1,000 patients have shown a reduction of antibiotic exposure by more than 60% when PCT was used to guide antibiotic initiation in the primary care setting (**Figure 8**) ⁽³⁾.



Importantly, there was no increase in the risk of mortality, relapse or treatment failure in patients, and time to recovery was similar in both groups.

Based on this evidence:

- in patients with a low pre-test probability for a bacterial infection, a single PCT measurement and a value below the cut-off of <0.25 ng/mL or certainly <0.1 ng/mL appears to be safe to exclude a relevant bacterial infection and to therefore decide not to initiate antibiotic therapy (Figure 9) ⁽⁸⁾.
- clinical follow up with re-measurement of PCT within 6-24 hours should be considered in all patients who show clinical deterioration.
- if PCT is >0.25 ng/mL, and particularly >0.5 ng/mL, a bacterial infection becomes likely and clinicians should consider expanding their diagnostic assessment, offering antibiotic therapy, and more closely monitoring the patient.

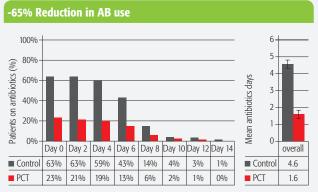


Figure 8: Antibiotic use in primary care with (red) and without (grey) PCT guidance. Adapted from Schuetz P *et al.* Clin Infect Dis. 2012;55:651-62. ⁽³⁾

> With PCT guidance, only **23% of patients** were given antibiotics *vs* 63% in the control group. The mean duration of treatment was **1.6 days** in the PCT group *vs* 4.6 days in the control group, indicating a reduction in antibiotic exposure of over 60% (**Figure 8**).

PCT TO GUIDE ANTIBIOTIC THERAPY DECISIONS

Figure 9: Proposed algorithm for use of PCT values to determine antibiotic treatment in LOW-ACUITY NON-PNEUMONIC INFECTIONS (ie, low risk) in primary care and emergency department settings.

Adapted from Schuetz P et al: Arch Intern Med 2011;171:1322-31. (8)

| Evaluation at time of admission | | | | |
|-------------------------------------|---|--------------|---|------------------------|
| PCT result (ng/mL) | < 0.1 | 0.1 - < 0.25 | 0.25 - < 0.5 | ≥ 0.5 |
| Recommendation regarding use of Abx | STRONGLY DISCOURAGED | DISCOURAGED | ENCOURAGED | STRONGLY ENCOURAGED |
| Overruling the algorithm | Consider use of antibiotics if patients are clinically unstable, have strong evidence of pneumonia, are at high risk (i.e., COPD GOLD III-IV), or need hospitalization | | | |
| Follow-up/ other comments | Follow-up only needed if no symptom resolution after 1 to 2 days ; if clinical situation is not improving; consider Abx if PCT level increases to $\ge 0.25 \mu g/L$ | | Clinical reevaluation as appropriate | |



In low-acuity patients with upper and lower respiratory infections in primary care, an initial PCT level measurement helps rule out bacterial infection and therefore exclude the need for empiric antibiotic therapy.

2 Use of Procalcitonin in ED and in-patients

BRONCHITIS, COPD EXACERBATION IN THE ED

Bronchitis or exacerbation of COPD is, in the majority of cases, a viral infection. Nevertheless, patients are still often being over-treated with antibiotics, because it is difficult to rule out a bacterial etiology based on clinical grounds.

Studies have evaluated PCT protocols in these patients and found that for patients who are clinically stable and are treated at the ED or are hospitalized, the **initiation of antibiotic therapy** should be based on **clinical grounds** and a **PCT value of** \geq **0.25 ng/mL**.

If PCT remains lower, antibiotics can be withheld and patients can be reassessed clinically without safety concerns. If patients are clinically stable, an alternative diagnosis should be considered; if patients are unstable, then antibiotics may be considered. If patients do not improve in the short follow-up period (6-12 hours), clinical reevaluation and re-measurement of PCT is recommended. (Figure 11, page 21).

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This concept has been investigated in different trials including more than 1,000 patients with bronchitis and COPD exacerbation ⁽³⁾. These studies have shown that **unnecessary antibiotic use was decreased by 50% in bronchitis patients** and **65% in COPD patients** with similar outcomes in terms of survival, risk for ICU admission or disease specific complications, recurrence of infection and lung function (FEV1) recovery.

Patients with bronchitis or COPD exacerbation and low PCT levels do not require antibiotic therapy, if no overruling condition is present.

In severe COPD, empiric therapy may still be considered initially in high-acuity patients.

COMMUNITY ACQUIRED PNEUMONIA IN THE ED

The greatest amount of clinical evidence for using PCT for antibiotic decisions is derived from randomized antibiotic stewardship trials involving over 2,000 patients with community-acquired pneumonia (CAP)⁽³⁾.

Based on these trials, a PCT level >0.25 ng/mL strongly suggests that a bacterial infection is likely and antibiotic therapy should be rapidly initiated. If PCT testing is available within 1-2 hours of presentation, the decision to initiate antibiotics may be assisted by the initial PCT level. In other settings, where PCT testing may be delayed, initiation of antibiotics should be based on clinical suspicion with the decision to discontinue antibiotics dependent on a PCT level. In patients in whom antibiotics are initiated, PCT should be reassessed every 2 days to monitor the course of treatment. Antibiotics may be safely discontinued if a patient shows clinical recovery and PCT decreases to <0.25 ng/mL (or by at least 80-90% from the peak level).

PCT TO GUIDE ANTIBIOTIC THERAPY DECISIONS

Such protocols have resulted in an **important reduction in antibiotic exposure of nearly 40%** without negatively affecting clinical outcomes and without increasing the risk for recurrent infections (**Figure 10**).

Highly increased PCT levels in this situation make bacteremic disease more likely and argue that the infection may be more severe than expected based on clinical signs and symptoms.

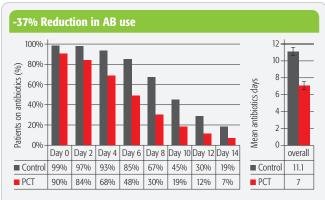


Figure 10: Antibiotic use in CAP patients with (red) and without (grey) PCT guidance. Adapted from Schuetz P, *et al.* Clin Infect Dis 2012;55:651-62.⁽³⁾

With PCT guidance, patients were treated for a mean of 7 days compared to 11.1 days in the control group, indicating a reduction in antibiotic exposure of around 40% (**Figure 10**).

In patients suspected of having a pneumonia based on the presence of infiltrates, a persistent (over 24-48 hrs.) PCT level of <0.1 ng/mL or even 0.1 ng/mL to < 0.25 ng/mL argues against a typical bacterial infection. Physicians should then consider including other conditions in their differential diagnosis, such as pulmonary embolism, acute heart failure (AHF), bronchiolitis obliterans organizing pneumonia (BOOP), *Pneumocystis jiroveci* pneumonia (PJP) and viral pneumonia. Particularly during flu season, influenza may be an important diagnosis to consider.

If antibiotics are withheld initially, PCT should be rechecked after 6-24 hours. If PCT levels are <0.25 ng/mL, but bacterial infection is still highly suspected based on the clinical presentation or microbiological results, antibiotic therapy may still be considered, particularly

in patients at higher risk for adverse outcome. If PCT remains low during follow-up, early discontinuation of antibiotics should be considered as well as an aggressive diagnostic workup for other etiologies. (Figure 11)⁽⁸⁾.

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Figure 11: Proposed algorithm for use of PCT values to determine antibiotic treatment in **MODERATE-ACUITY PNEUMONIC INFECTIONS** (ie, moderate risk) in hospital and ED settings.

Adapted from Schuetz P et al. Arch Intern Med 2011;171(15):1322-31. (8)

| Evaluation at time of admission | | | | |
|---|--|-------------------------------|---|---|
| PCT result (ng/mL) | < 0.1 | 0.1 - < 0.25 | 0.25 - < 0.5 | ≥ 0.5 |
| Recommendation regarding use of Abx | STRONGLY DISCOURAGED | DISCOURAGED | ENCOURAGED | STRONGLY ENCOURAGE |
| Overruling the algorithm Consider alternative diagnosis, or Abx if patients are clinical unstable, are at high risk for adverse outcome (eg, PSI classes IV-V, immunosupression), or have strong evidence of a bacterial pathogen | | | | e (eg, PSI |
| Follow-up/ other comments | Reassess patients' condition and recheck PCT level after 6 to 12 hours if no clinical improvement is observed | | Recheck PCT level every 2 to 3 days to consider stopping Abx | |
| Follow-up evalu | ation every | 2 to 3 days | | |
| PCT result (ng/mL) | < 0.1 | 0.1 - < 0.25 | 0.25 - < 0.5 | ≥ 0.5 |
| Recommendation regarding use of Abx | STOPPING ABx STRONGLY ENCOURAGED | STOPPING ABx ENCOURAGED | CONTINING ABx ENCOURAGED | CONTINING ABx STRONGLY ENCOURAGE |
| Overruling the algorithm | Consider continuation of Abx if patients are clinically not stable | | | |
| Follow-up/ other comments | Clinical reevaluation as appropriate | | Consider treatment to have falled if PCT level does not decrease adequately | |



In community-acquired pneumonia (CAP), monitoring the course of PCT helps shorten the duration of treatment.



SEPSIS IN MEDICAL ICU

For highest acuity patients with a strong suspicion of severe bacterial infection, **empiric antibiotic therapy should not be delayed**. Nevertheless, an initial PCT level of <0.5 ng/mL argues strongly against a bacterial infectiotn and other diagnoses should be considered, including viral etiologies.

A careful clinical evaluation and periodic monitoring (every 1-2 days) of PCT levels after antibiotic initiation appears to be an appropriate strategy in these patients (**Figure 12**).

- A drop of PCT to <0.5 ng/mL or by at least 80-90% from peak values are reasonably conservative thresholds for stopping antibiotic therapy in this fragile population, assuming patients also show a favorable clinical response^(®).
- If PCT levels do not decrease by about 50% every 1-2 days, treatment failure should be considered and re-assessment of patients is recommended.

In clinical studies including more than 500 patients from the medical and surgical ICU, such ICU protocols have been shown to **reduce antibiotic therapy duration from a median of 12 to a median of 8 days**, with similar outcomes in patients, and in some studies, reduced length of ICU stays⁽³⁾.

An initial low PCT level makes other, non-infectious differentiated diagnoses more likely.

Monitoring the course of PCT helps physicians to safely reduce duration of therapy.

However, timely empiric antibiotic therapy should always be considered in ICU patients with sepsis.

Figure 12: Proposed algorithm for use of PCT values to determine antibiotic treatment in **HIGH-ACUITY INFECTIONS** (ie, high risk; sepsis) in intensive care unit settings.

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Adapted from Schuetz P et al. Arch Intern Med 2011;171(15):1322-1331. (8)

Evaluation at time of admission PCT result (ng/mL) < 0.25 0.5 - < 1.0 ≥ 1.0 Recommendation STRONGLY STRONGLY **ENCOURAGED** DISCOURAGED regarding use of Abx ENCOURAGED Overruling Empirical therapy recommended in all patients with clinical the algorithm suspicion of infection Considerer alternative Reassess patients' condition Follow-up/ diagnosis; reassess patients and recheck PCT level every other comments condition and recheck PCT 2 days to consider stopping level every 2 day Abx

| Follow-up evaluation every 1 to 2 days | | | | |
|--|--|------------------------------------|---|---|
| PCT result (ng/mL) | < 0.25 or drop by >90% | 0.25 - < 0.5 or drop by ≥80% | 0.5 and drop by <80% | ≥ 1.0 and PCT rise |
| Recommendation regarding use of Abx | STOPPING ABx STRONGLY ENCOURAGED | STOPPING ABx ENCOURAGED | CONTINING ABx DISCOURAGED | CONTINING ABx STRONGLY DISCOURAGED |
| Overruling the algorithm | Consider continuation of Abx if patients are clinically not stable | | | |
| Follow-up/ other comments | Clinical reevaluation as appropriate | | Consider treatment to have falled if PCT level does not decrease adequately | |
| | | | | , , |

PCT TO GUIDE ANTIBIOTIC THERAPY DECISIONS

■ INFECTIOUS COMPLICATIONS IN SURGICAL ICU PATIENTS

For patients with suspicion of infection in the post-operative course after major surgery or trauma, the use of a blood biomarker, such as PCT, may be limited, as **biomarker levels may reflect the cytokine response to the injury** and not necessarily point to an underlying infection. In this situation, the kinetics of the biomarker is much more important than initial post-operative values, as is the case for PCT.

- In post-surgical patients, PCT levels increase immediately due to surgical stress, but a rapid decrease (50% every other day) should be observed in uncomplicated surgery.
- If PCT continues to increase after 24 hours or only decreases slowly, the post-operative course is likely to be complicated by an infection. (Figure 13)⁽³³⁾.

Monitoring of PCT during the post-operative course therefore provides useful information to physicians.

Studies have suggested that PCT is helpful for **differentiation of infectious from non-infectious causes of fever** after orthopedic surgery ⁽³⁴⁾.

- A spike in PCT levels 3-4 days post-operatively or following trauma may indicate a secondary bacterial infection.
- If antibiotics are started in the post-operative course based on clinical suspicion, monitoring PCT facilitates early discontinuation of antibiotics in patients showing a favorable clinical response and a drop of PCT levels⁽³⁵⁾.

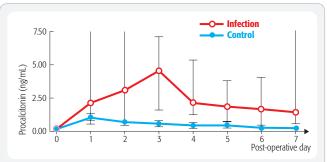


Figure 13: Comparison of PCT in patients with complicated (infection) and uncomplicated post-operative courses

Adapted from Jebali MA et al. Anesthesiology 2007;107:232-8. (33)



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EXAMPLE: Value of monitoring PCT in Post-Operative patients

Making the decision for relaparotomy after secondary peritonitis is difficult, but **early control of a persistent intra-abdominal infectious focus is crucial**. Early identification of a persistent or recurrent infection solely by clinical parameters, or an inflammatory biomarker such as C-reactive protein, is limited in the first 48 hours after an initial operation because of the confounding effects of operative trauma, anesthesia and the concomitant need for artificial ventilation, sedation and analgesia.

Clinical studies have shown that **monitoring PCT levels** in this situation **improves risk stratification**, as a significant decrease in PCT serum levels was observed in patients with successful operative eradication of the infectious focus with the initial laparotomy. In patients with a persisting infectious focus, however, the serum PCT did not decrease.

A PCT ratio of <1.03 between post-operative days 1 and 2 has been suggested to be highly indicative of unsuccessful elimination of the septic focus. $^{(36)}$



Monitoring PCT in the post-operative phase is helpful for early identification of complications and to guide antibiotic duration.

FREQUENTLY ASKED QUESTIONS

Can procalcitonin be falsely high in the absence of bacterial infection or falsely low in the presence of bacterial infection?

- Non-specific elevations of PCT levels in the absence of a bacterial infection can typically be seen in situations of massive stress, e.g. after severe trauma, cardiac shock or surgery. In these situations, PCT values are usually only moderately elevated and show a rapid decline in follow-up measurements.
- Conversely, falsely low PCT levels, typically seen during the early course or in localized infections (i.e. empyema), often show an increase in the follow-up measurements. In these cases, subtle increases of PCT may already point to an underlying infection. Therefore, highly sensitive PCT assays are required, as subtle changes of PCT at very low concentrations can be monitored, increasing the test's sensitivity and therefore patient safety.

PCT levels should be integrated in clinical algorithms and used in conjunction with a thorough clinical assessment.

CLINICAL LIMITATIONS OF PCT

INCREASED PCT levels may not always be related to systemic bacterial infection

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Several situations have been described where PCT levels can be elevated by **non-bacterial causes**. These include, but are not limited to:

- neonates < 48 hours of life (physiological elevation) (37)
- acute respiratory distress syndrome
- first days after major trauma, major surgical intervention, severe burns, treatment with OKT3 antibodies and other drugs stimulating the release of pro-inflammatory cytokines⁽³⁸⁾
- invasive fungal infections or acute attacks of *Plasmodium falciparum* ⁽³⁸⁾
- prolonged or severe cardiogenic shock, prolonged severe organ perfusion anomalies, small cell lung cancer, medullary C-cell carcinoma of the thyroid. (38)

LOW PCT levels do not automatically exclude the presence of bacterial infection

Low PCT levels may be obtained during the **early course of infections**, in **localized infections** and in **sub-acute endocarditis**. Follow-up and re-evaluation of PCT in clinical suspicion of infection or persisting symptoms is therefore essential.

2 What is the value of procalcitonin in immunosuppressed patients ?

Different studies have evaluated the utility of PCT in patients with febrile neutropenia. A recent systematic review found 30 articles on the topic and concluded that PCT has value as a **diagnostic and prognostic tool in patients with febrile neutropenia**, but that due to differences in patient populations and study qualities, further research is needed ⁽³⁹⁾.

Importantly in this regard, **the production of PCT does not seem to be attenuated by corticosteroids** and PCT production does not rely on white blood cells. A study including 102 critically ill patients with systemic infections in a medical intensive care unit (ICU) found significantly lower CRP and IL-6 levels, but similar PCT levels, in patients treated with systemic corticosteroids (20 to 1500 mg/day of prednisone parenterally) compared to untreated patients⁽⁴⁰⁾.

FREQUENTLY ASKED QUESTIONS

These observations were confirmed in healthy male volunteers who received different doses of prednisolone up to 30 mg/day before a sepsis-like syndrome was induced with *Escherichia coli* lipopolysac-charide (LPS) injections ⁽⁴¹⁾. While other biomarkers are significantly inhibited in a dose-dependent way, levels of PCT showed no inhibition within the study period.

Observational studies suggest PCT may improve diagnosis in immunosuppressed patients and PCT levels are not affected by corticosteroids.

3 Is PCT testing cost-effective ?

An important consideration when using a new diagnostic test is the cost associated with the test with respect to the potential for producing a cost-saving.

A recent meta-analysis concluded that **PCT** in the critical care setting (ICU) is cost-effective if used to guide antibiotic decisions due to the high antibiotic costs associated with critically ill patients ^(42, 43).

Furthermore, studies in the ICU setting have shown a reduction in the length of stay of about 1.5 days, which was significant in some but not all studies ⁽⁴³⁾. In general medical ward patients, length of stay is reduced by about 0.5 days ⁽³⁾.

Importantly, secondary costs due to side effects and emergence of antibiotic resistance should also be considered. These effects are found not only on a patient level, but also on a population level.

> Cost benefits of using PCT include reduced antibiotic exposure, shorter length of stay, reduced risk for sideeffects and reduced emergence of multi-resistant bacteria.

GUIDELINES

CURRENT GUIDELINE RECOMMENDATIONS

Based on the body of literature, **recent national and international guidelines** have adapted the concept of **using PCT to confirm or rule out severe bacterial infections**, monitor patients and guide antibiotic therapy decisions.

- The recent 2012 update in the Surviving Sepsis Campaign now advocates that a low PCT level helps to rule out an infection in patients with a systemic inflammatory response syndrome (SIRS). The Guidelines "suggest the use of low procalcitonin to assist the clinician in the discontinuation of empiric antibiotics when no evidence of infection is found (grade 2C)...". (44)
- Moreover, the most recent 2012 European respiratory guidelines emphasize that PCT should be used to monitor antibiotic treatment of patients. Specifically, it is stated that "...biomarkers can guide treatment duration by the application of predefined stopping rules for antibiotics. It has been shown that such rules work even in most severe cases, including pneumonia with septic shock, and even if clinicians are allowed to overrule the predefined stopping rules". ⁽⁴⁵⁾
- The 2011 German sepsis society guidelines recommend using PCT to confirm or rule out a systemic infection in patients presenting with a clinical suspicion because studies have repeatedly demonstrated that low PCT levels reliably rule out sepsis with a high negative predictive value, while a high PCT levels argues for the presence of infection/ sepsis⁽⁴⁶⁾.

Similarly, sepsis and emergency department guidelines in Sweden, the US, China and Spain have also included PCT⁽⁴⁷⁻⁴⁹⁾. In 2008 the American College of Critical Care Medicine and the Infectious Diseases Society of America updated their guidelines for evaluation of new fever in critically ill adult patients and included PCT as a more sensitive test for the early detection of bacterial infections and sepsis in patients during the first day of ICU⁽⁴⁷⁾. The 2007 Spanish guidelines have emphasized the importance of the use of biomarkers for early diagnosis of sepsis and added high plasma levels of PCT to the list of signs and symptoms of sepsis diagnosis⁽⁴⁸⁾.

<u>PCT-BASED</u> ALGORITHMS FOR GUIDAN CF ()ANTIBIOTIC THERAPY

INITIATING, CONTINUING or STOPPING antibiotic therapy in LRTI or septic patients.

The algorithms can be extracted from the booklet the dotted line)

Alternatively, use the dedicated slide ruler available

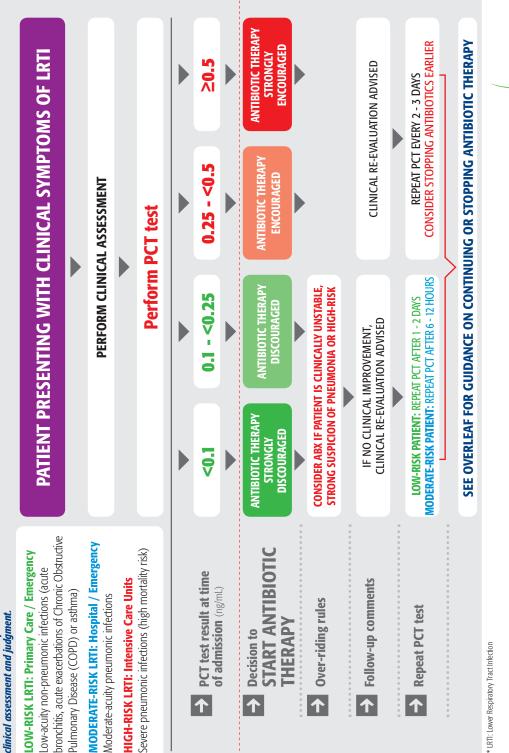


IMPORTANT: PCT results do not replace clinical assessment and judgment. For clinical limitations of PCT, see page 27.



Source: Schuetz P, et al. Procalcitonin algorithms for antibiotic therapy decisions: a systematic review of randomized controlled trials and recommendations for clinical algorithms. Arch Intern Med. 2011;171:1322-31.

PCT results do not replace



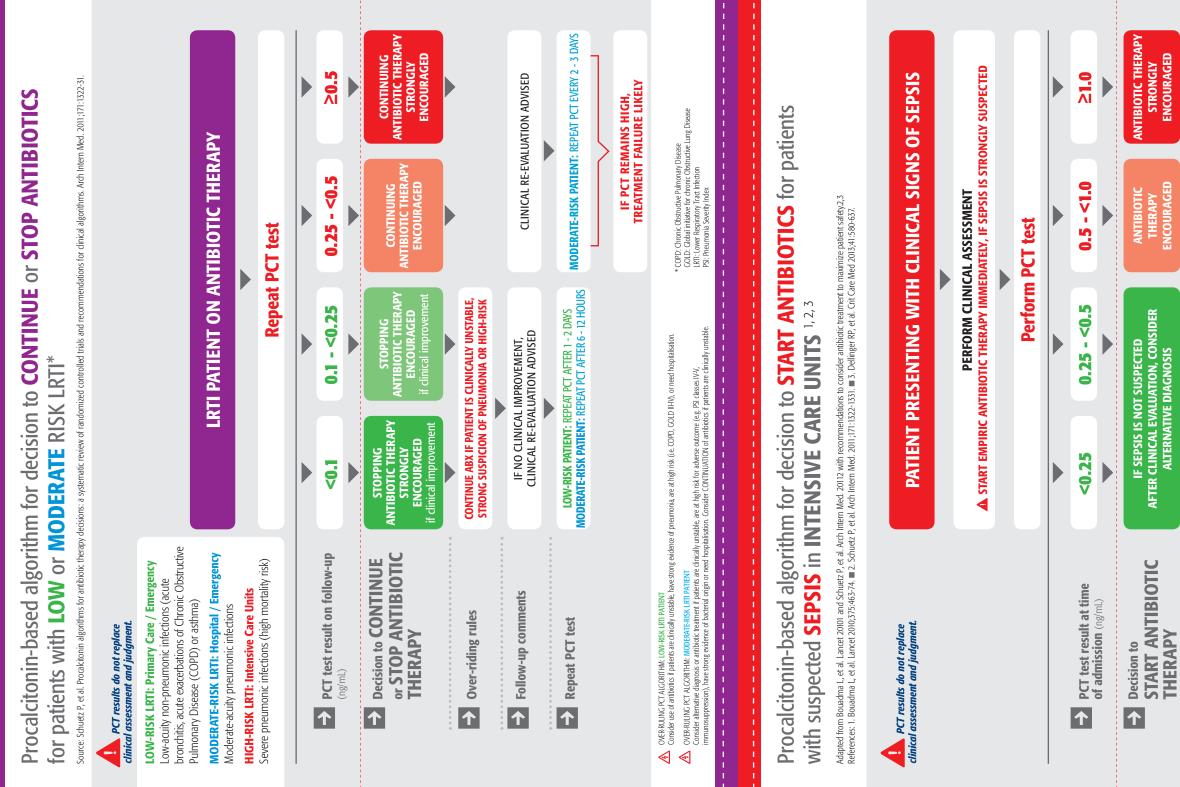
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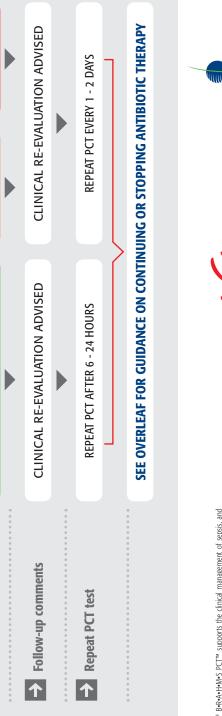


H-M-S PC1

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VIDAS® B-R-A-H-M-5 PCT^w supports the clinical management of sepsis, and procalctionin cut-off thresholds are an aid to the clinical management of LRII, but under no cut-off thresholds replace clinical judgment. Information on this cut-outern is given are aguideline only and incli judgment. Information: It clinin on way binds bioMeretex SA to the diagnosis established or the treatment prescribed by the physician.

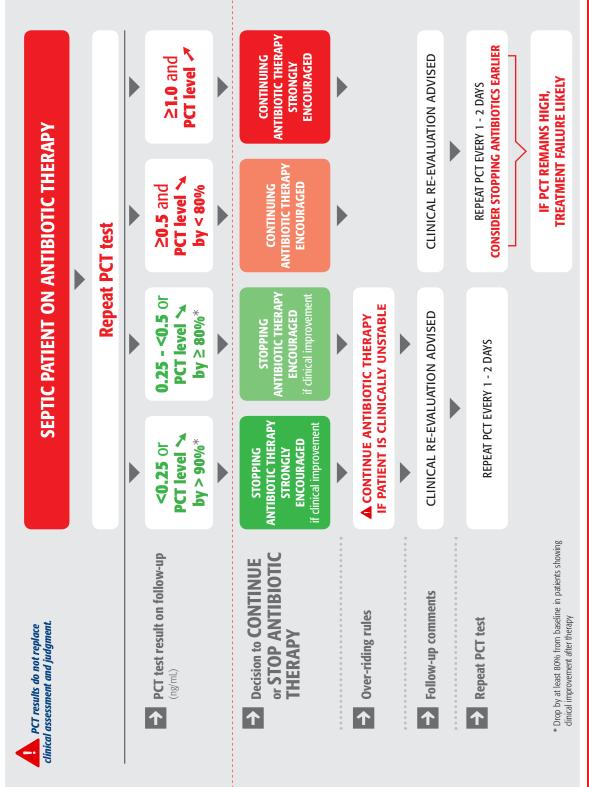
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t al. Arch Intern Med. 20112 with recommendations to consider antibiotic treatment to maximize patient safety.2,3 Schuetz P, et al. Arch Intern Med. 2011;171:1322-1331. = 3. Dellinger RP, et al. Grit Care Med 2013;41:580-637. et al. Adapted from Bouadma L, et al. Lancet 2010] and Schuetz P, ϵ References: 1. Bouadma L, et al. Lancet 2010;375:463-74. \blacksquare 2.



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LIST OF ABBREVIATIONS

| AHF | Acute heart failure |
|---------|--|
| BOOP | Bronchiolitis obliterans organizing pneumonia |
| CAP | Community-acquired pneumonia |
| COPD | Chronic Obstructive Pulmonary Disease |
| CRP | C-reactive protein |
| CT-mRNA | Calcitonin-messenger ribonucleic acid |
| ED | Emergency department |
| FEV1 | Forced Expiratory Volume in 1 second |
| GOLD | Global Initiative for Chronic Obstructive Lung Disease |
| ICU | Intensive care unit |
| IFN | Interferon |
| LRTI | Lower respiratory tract infection |
| IL | Interleukin |
| LPS | Lipopolysaccharide |
| MRSA | Methicillin-Resistant Staphyloccus aureus |
| РСТ | Procalcitonin |
| Pro-CT | Prohormone of calcitonin |
| PSI | Pneumonia severity index |
| SIRS | Systemic inflammatory response syndrome |
| TNF | Tumor necrosis factor |
| VAP | Ventilator-associated pneumonia |
| | |